

CS255: Winter 2017

# PRPs and PRFs

1. Abstract block ciphers: PRPs and PRFs,
2. Security models for encryption,
3. Analysis of CBC and counter mode

# PRPs and PRFs

- Pseudo Random Function (**PRF**) defined over  $(K, X, Y)$ :

$$F: K \times X \rightarrow Y$$

such that exists “efficient” algorithm to evaluate  $F(k, x)$

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- Pseudo Random Permutation (**PRP**) defined over  $(K, X)$ :

$$E: K \times X \rightarrow X$$

such that:

1. Exists “efficient” algorithm to evaluate  $E(k, x)$
2. The function  $E(k, \cdot)$  is one-to-one
3. Exists “efficient” inversion algorithm  $D(k, x)$

# Running example

- Example PRPs: 3DES, AES, ...

AES-128:  $K \times X \rightarrow X$  where  $K = X = \{0,1\}^{128}$

DES:  $K \times X \rightarrow X$  where  $X = \{0,1\}^{64}$ ,  $K = \{0,1\}^{56}$

3DES:  $K \times X \rightarrow X$  where  $X = \{0,1\}^{64}$ ,  $K = \{0,1\}^{168}$

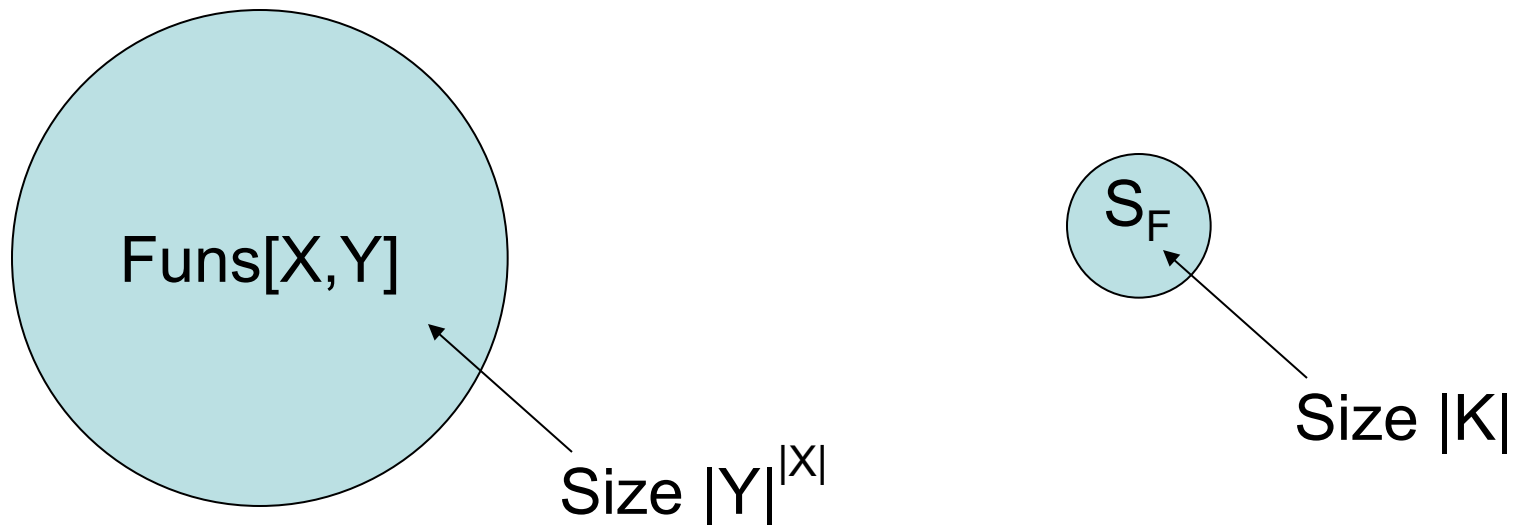
- Functionally, any PRP is also a PRF.
  - A PRP is a PRF where  $X=Y$  and is efficiently invertible
  - A PRP is sometimes called a ***block cipher***

# Secure PRFs

- Let  $F: K \times X \rightarrow Y$  be a PRF

$$\left\{ \begin{array}{l} \text{Funs}[X,Y]: \text{ the set of all functions from } X \text{ to } Y \\ S_F = \{ F(k,\cdot) \text{ s.t. } k \in K \} \subseteq \text{Funs}[X,Y] \end{array} \right.$$

- Intuition: a PRF is **secure** if  
a random function in  $\text{Funs}[X,Y]$  is indistinguishable from  
a random function in  $S_F$

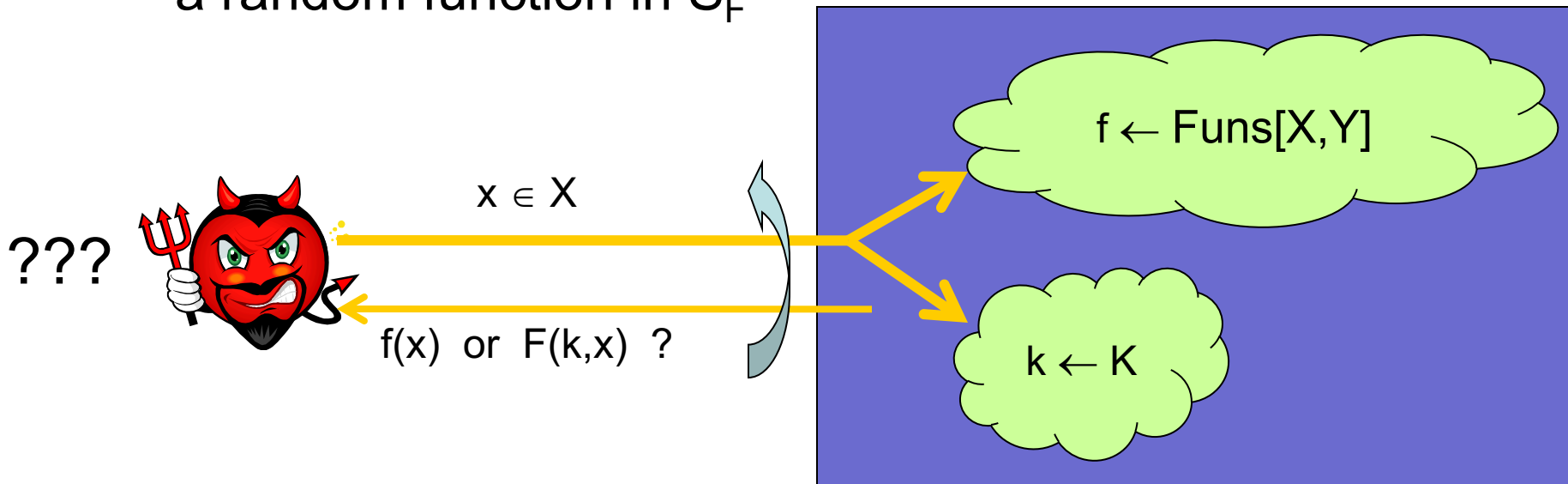


# Secure PRFs

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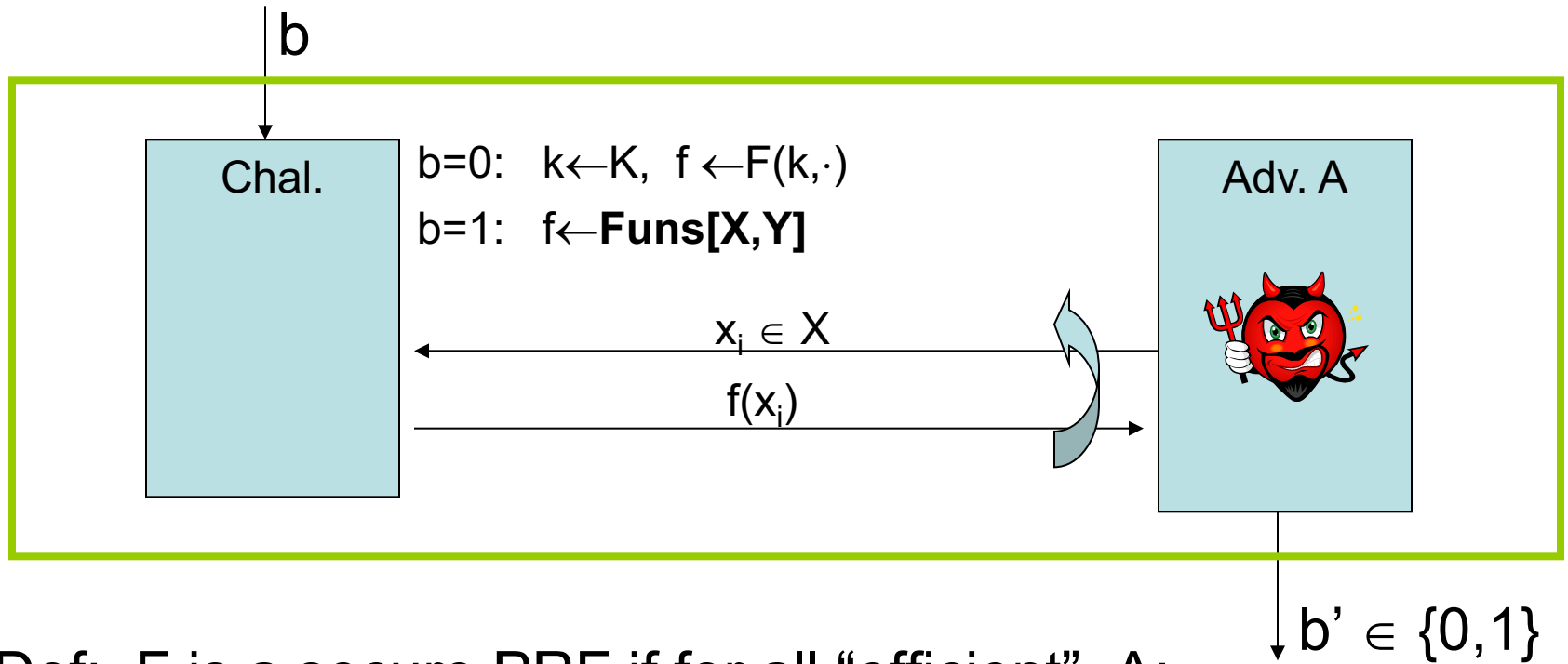
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- Intuition: a PRF is **secure** if a random function in  $\text{Funs}[X,Y]$  is indistinguishable from a random function in  $S_F$



# Secure PRF: definition

- For  $b=0,1$  define experiment  $\text{EXP}(b)$  as:



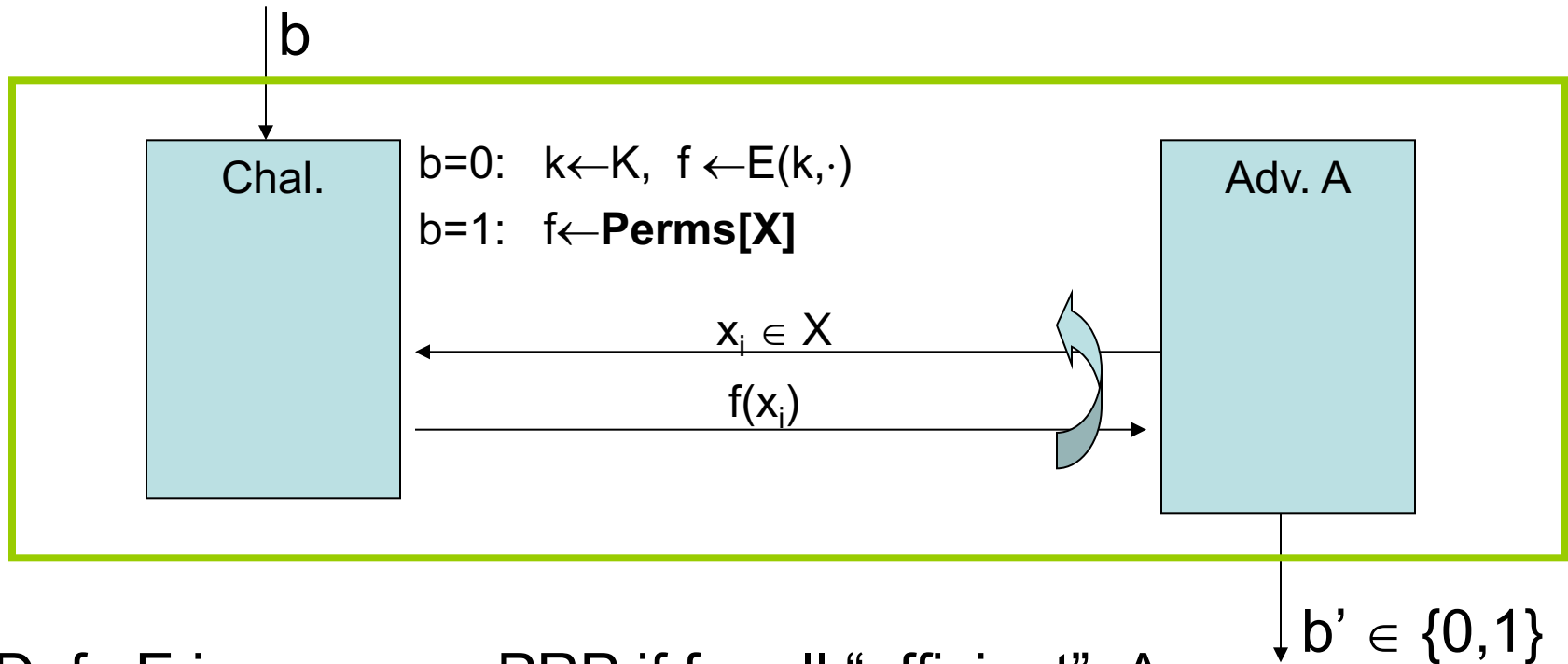
- Def:  $F$  is a secure PRF if for all “efficient”  $A$ :

$$\text{Adv}_{\text{PRF}}[A, F] = \left| \Pr[\text{EXP}(0)=1] - \Pr[\text{EXP}(1)=1] \right|$$

is “negligible.”

# Secure PRP

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# Example secure PRPs

- Example secure PRPs: 3DES, AES, ...

$\text{AES}_{256}: K \times X \rightarrow X$  where  $X = \{0,1\}^{128}$

$K = \{0,1\}^{256}$

- $\text{AES}_{256}$  PRP Assumption (example):

All explicit  $2^{80}$ -time algs A have  $\text{PRP Adv}[A, \text{AES}_{256}] < 2^{-40}$



# PRF Switching Lemma

Any secure PRP is also a secure PRF.

Lemma: Let  $E$  be a PRP over  $(K, X)$

Then for any  $q$ -query adversary  $A$ :

$$\left| \text{Adv}_{\text{PRF}}[A, E] - \text{Adv}_{\text{PRP}}[A, E] \right| < q^2 / 2|X|$$

---

$\Rightarrow$  Suppose  $|X|$  is large so that  $q^2 / 2|X|$  is “negligible”

Then  $\text{Adv}_{\text{PRP}}[A, E]$  “negligible”  $\Rightarrow \text{Adv}_{\text{PRF}}[A, E]$  “negligible”

# Using PRPs and PRFs

- Goal: build “secure” encryption from a PRP.
- Security is always defined using two parameters:

1. What “**power**” does adversary have?

examples:

- Adv sees only one ciphertext (one-time key)
- Adv sees many PT/CT pairs (many-time key, CPA)

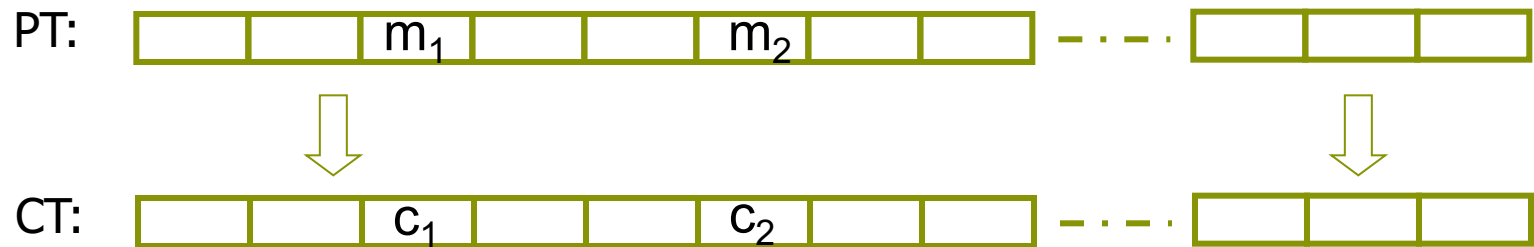
2. What “**goal**” is adversary trying to achieve?

examples:

- Fully decrypt a challenge ciphertext.
- Learn info about PT from CT (semantic security)

# Incorrect use of a PRP

Electronic Code Book (ECB):



Problem:

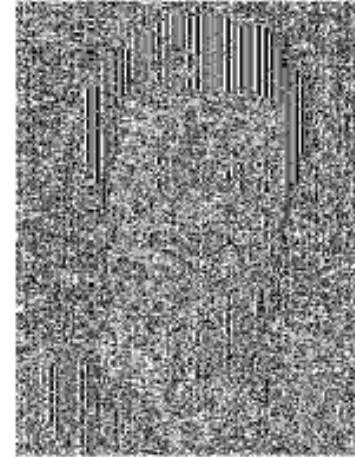
– if  $m_1 = m_2$  then  $c_1 = c_2$

# In pictures

An example plaintext



Encrypted with AES in ECB mode



(courtesy B. Preneel)

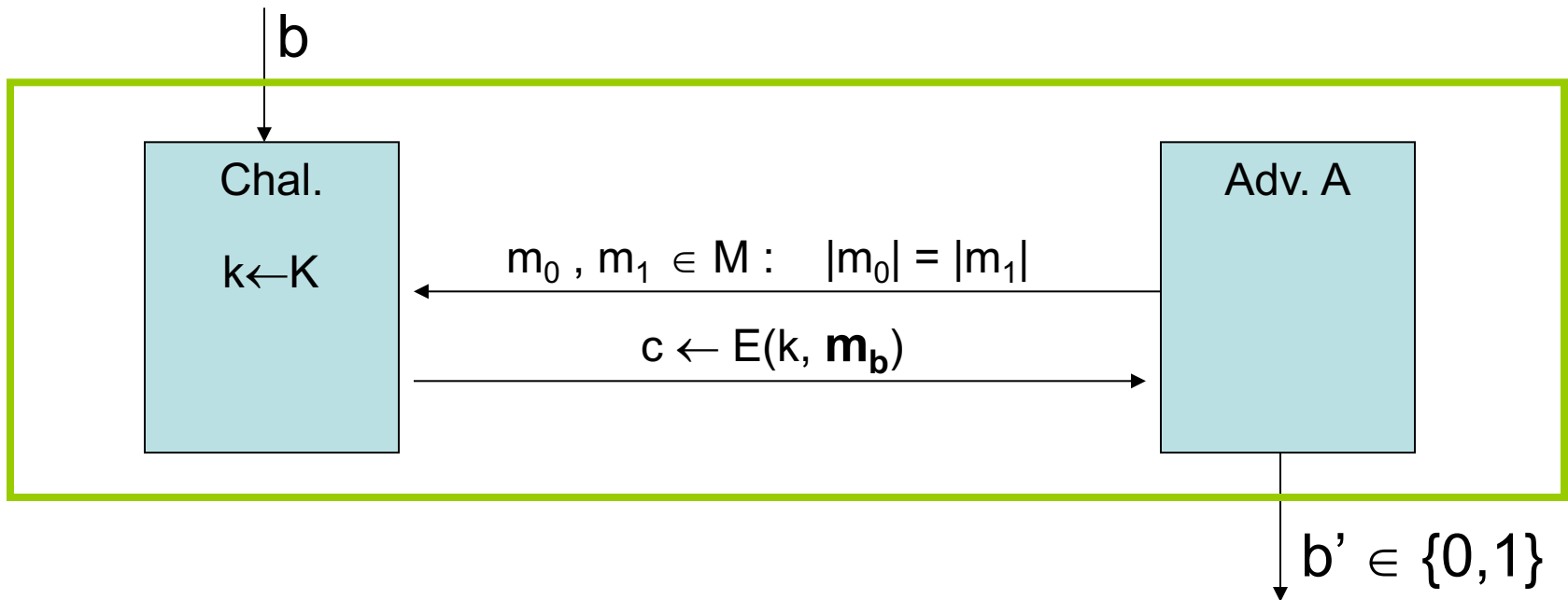
# Modes of Operation for One-time Use Key

Example application:

Encrypted email.    New key for every message.

# Semantic Security for one-time key

- $E = (E, D)$  a cipher defined over  $(K, M, C)$
- For  $b=0,1$  define  $EXP(b)$  as:



- Def:  $E$  is sem. sec. for one-time key if for all “efficient”  $A$ :

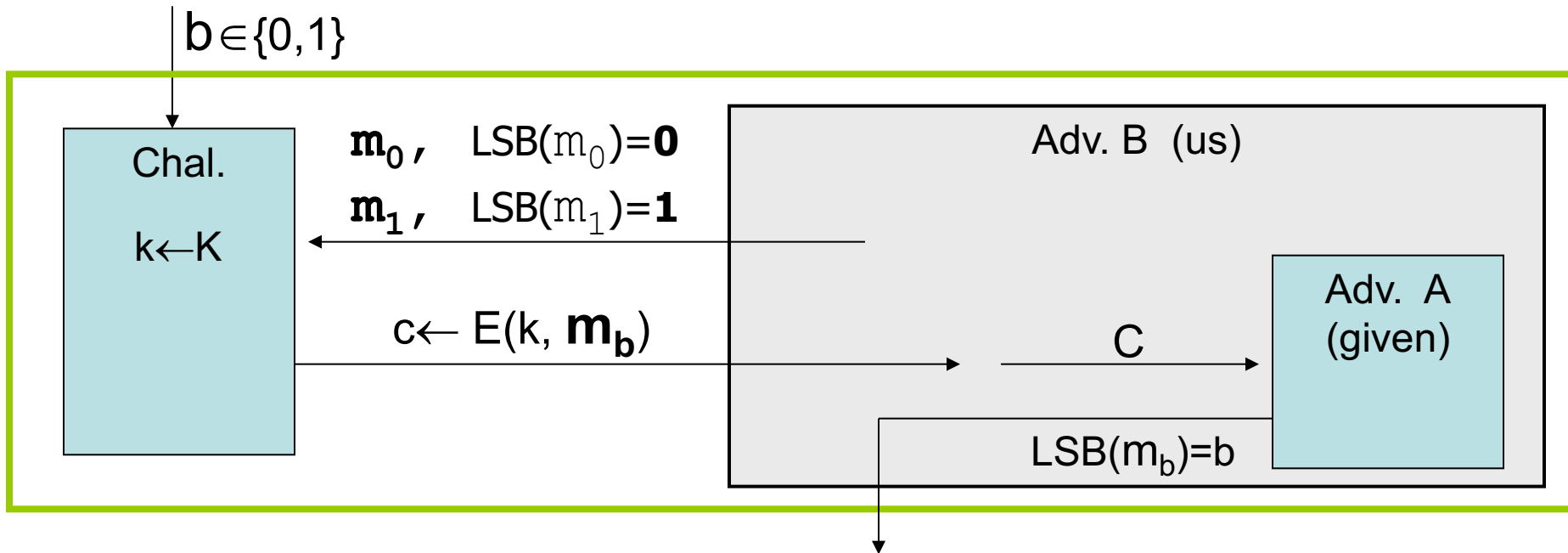
$$Adv_{SS}[A, E] = \left| \Pr[EXP(0)=1] - \Pr[EXP(1)=1] \right|$$

is “negligible.”

# Semantic security (cont.)

Sem. Sec.  $\Rightarrow$  no “efficient” adversary learns info about PT from a **single** CT.

Example: suppose efficient A can deduce LSB of PT from CT. Then  $E = (E, D)$  is not semantically secure.

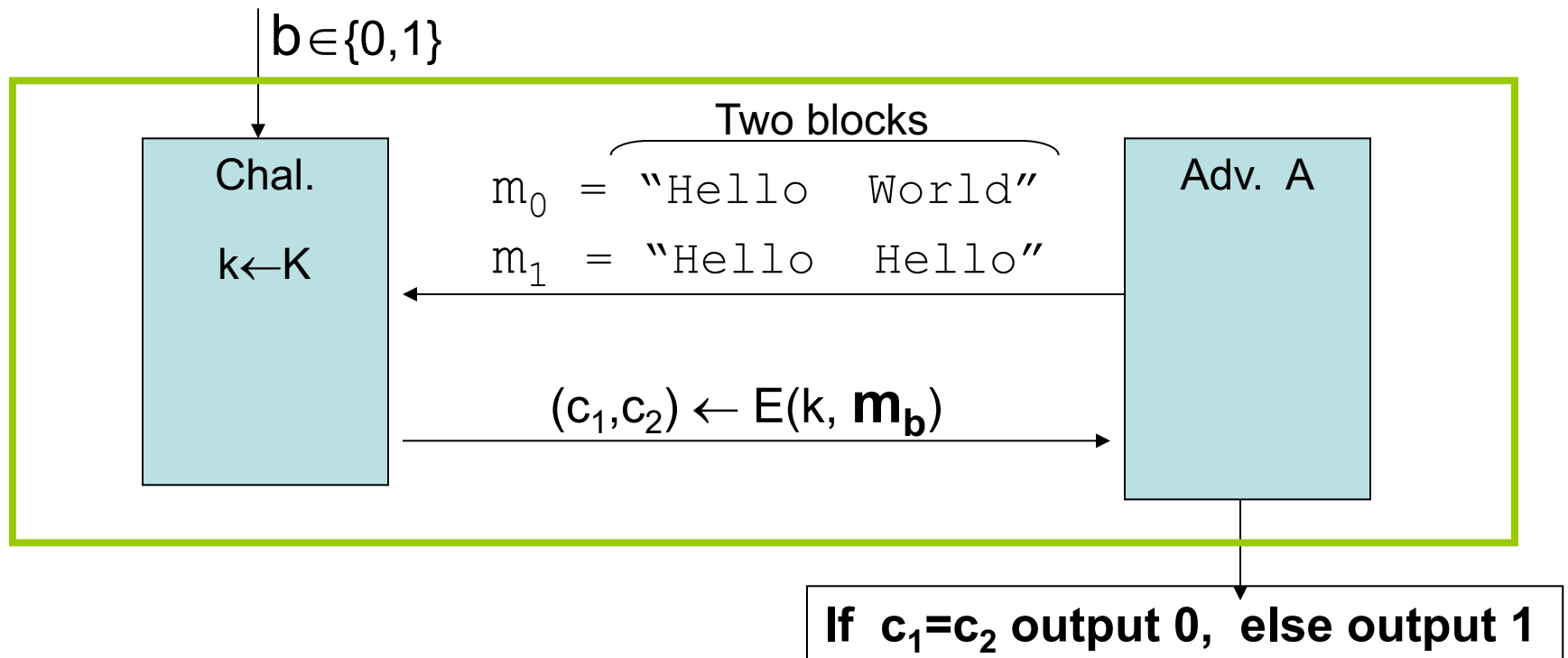


Then  $\text{Adv}_{\text{SS}}[B, E] = 1 \Rightarrow E$  is not sem. sec.

# Note: ECB is not Sem. Sec.

Electronic Code Book (ECB):

- Not semantically secure for messages that contain more than one block.



Then  $\text{Adv}_{\text{SS}}[A, \text{ECB}] = 1$



# Secure Constructions

Examples of sem. sec. systems:

1.  $\text{Adv}_{\text{SS}}[A, \text{OTP}] = 0$  for all  $A$

2. Deterministic counter mode from a PRF  $F$  :

•  $E_{\text{DETCTR}}(k,m) =$

$$\begin{array}{cccc} m[0] & m[1] & \dots & m[L] \\ \oplus & & & \\ F(k,0) & F(k,1) & \dots & F(k,L) \\ \hline c[0] & c[1] & \dots & c[L] \end{array}$$

• Stream cipher built from PRF (e.g. AES, 3DES)

# Det. counter-mode security

Theorem: For any  $L > 0$ .

If  $F$  is a secure PRF over  $(K, X, X)$  then

$E_{\text{DETCTR}}$  is sem. sec. cipher over  $(K, X^L, X^L)$ .

In particular, for any adversary  $A$  attacking  $E_{\text{DETCTR}}$  there exists a PRF adversary  $B$  s.t.:

$$\text{Adv}_{\text{SS}}[A, E_{\text{DETCTR}}] = 2 \cdot \text{Adv}_{\text{PRF}}[B, F]$$

---

$\text{Adv}_{\text{PRF}}[B, F]$  is negligible (since  $F$  is a secure PRF)

$\Rightarrow \text{Adv}_{\text{SS}}[A, E_{\text{DETCTR}}]$  must be negligible.

# Modes of Operation for Many-time Key

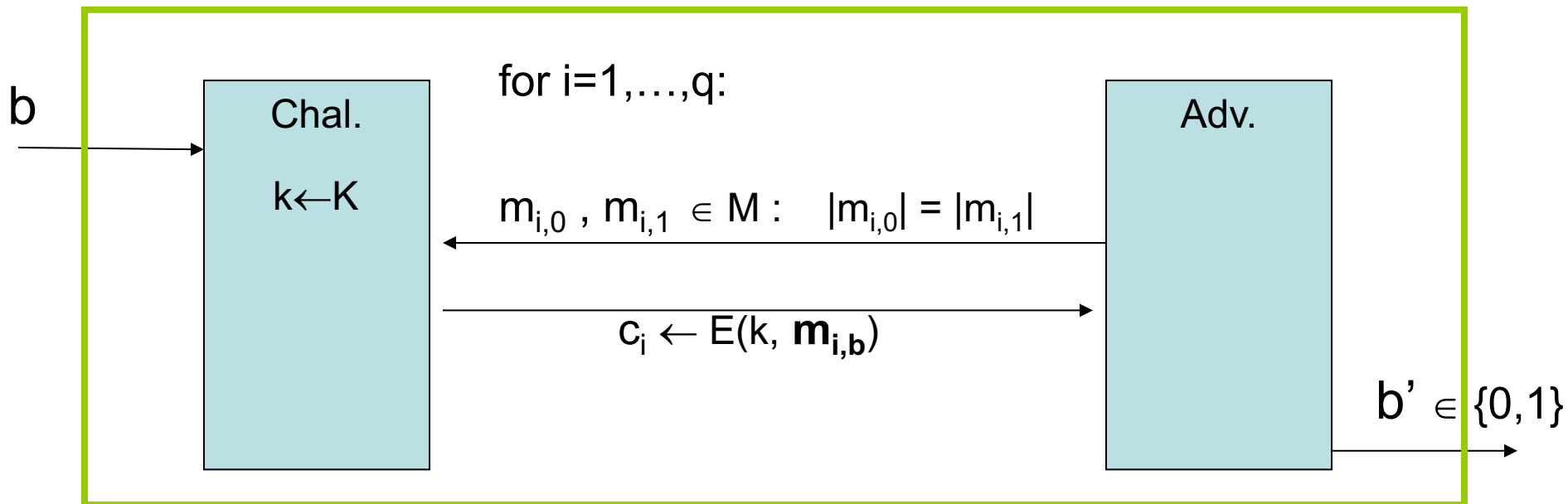
## Example applications:

1. File systems: Same AES key used to encrypt many files.
2. IPsec: Same AES key used to encrypt many packets.

# Semantic Security for many-time key (CPA security)

Cipher  $E = (E, D)$  defined over  $(K, M, C)$ .

For  $b=0,1$  define  $\text{EXP}(b)$  as:



if adv. wants  $c = E(k, m)$  it queries with  $m_{j,0} = m_{j,1} = m$

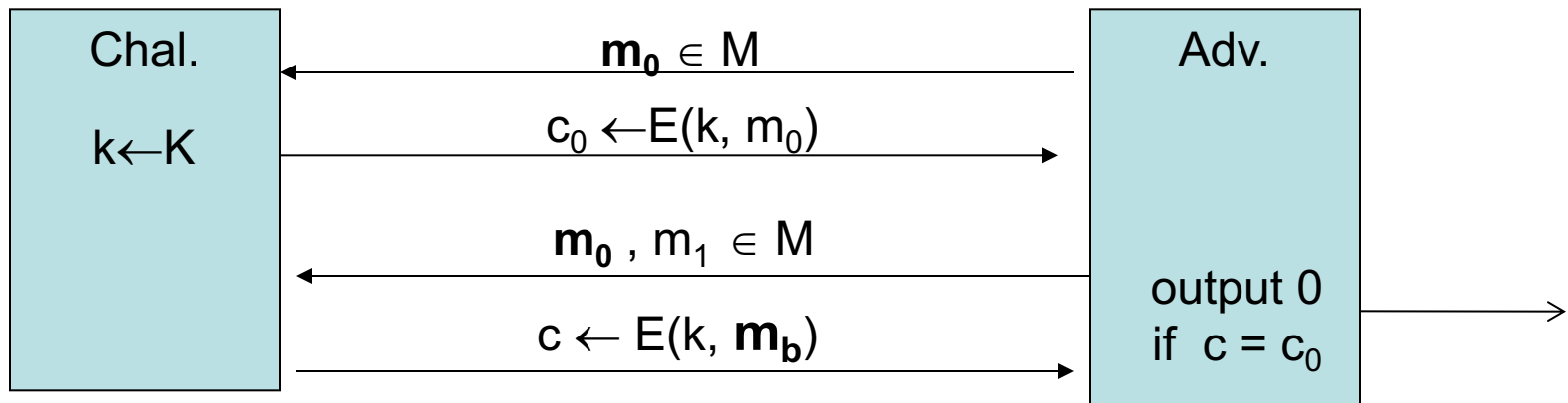
Def:  $E$  is sem. sec. under CPA if for all "efficient"  $A$ :

$\text{Adv}_{\text{CPA}}[A, E] = \left| \Pr[\text{EXP}(0)=1] - \Pr[\text{EXP}(1)=1] \right|$   
is "negligible."

# Security for many-time key

Fact: stream ciphers are insecure under CPA.

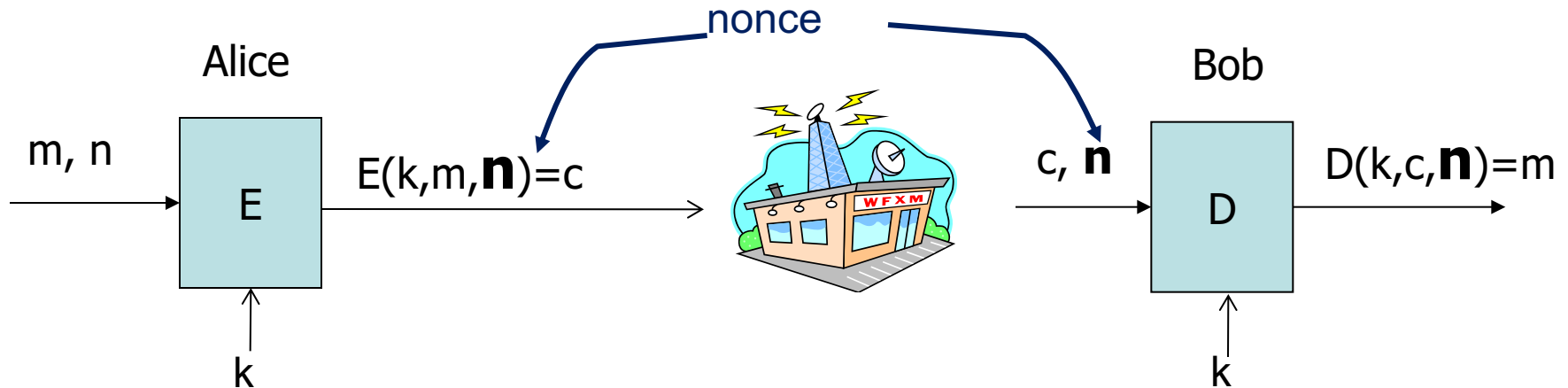
- More generally: if  $E(k,m)$  always produces same ciphertext, then cipher is insecure under CPA.



If secret key is to be used multiple times  $\Rightarrow$

given the same plaintext message twice,  
the encryption alg. must produce different outputs.

# Nonce-based Encryption

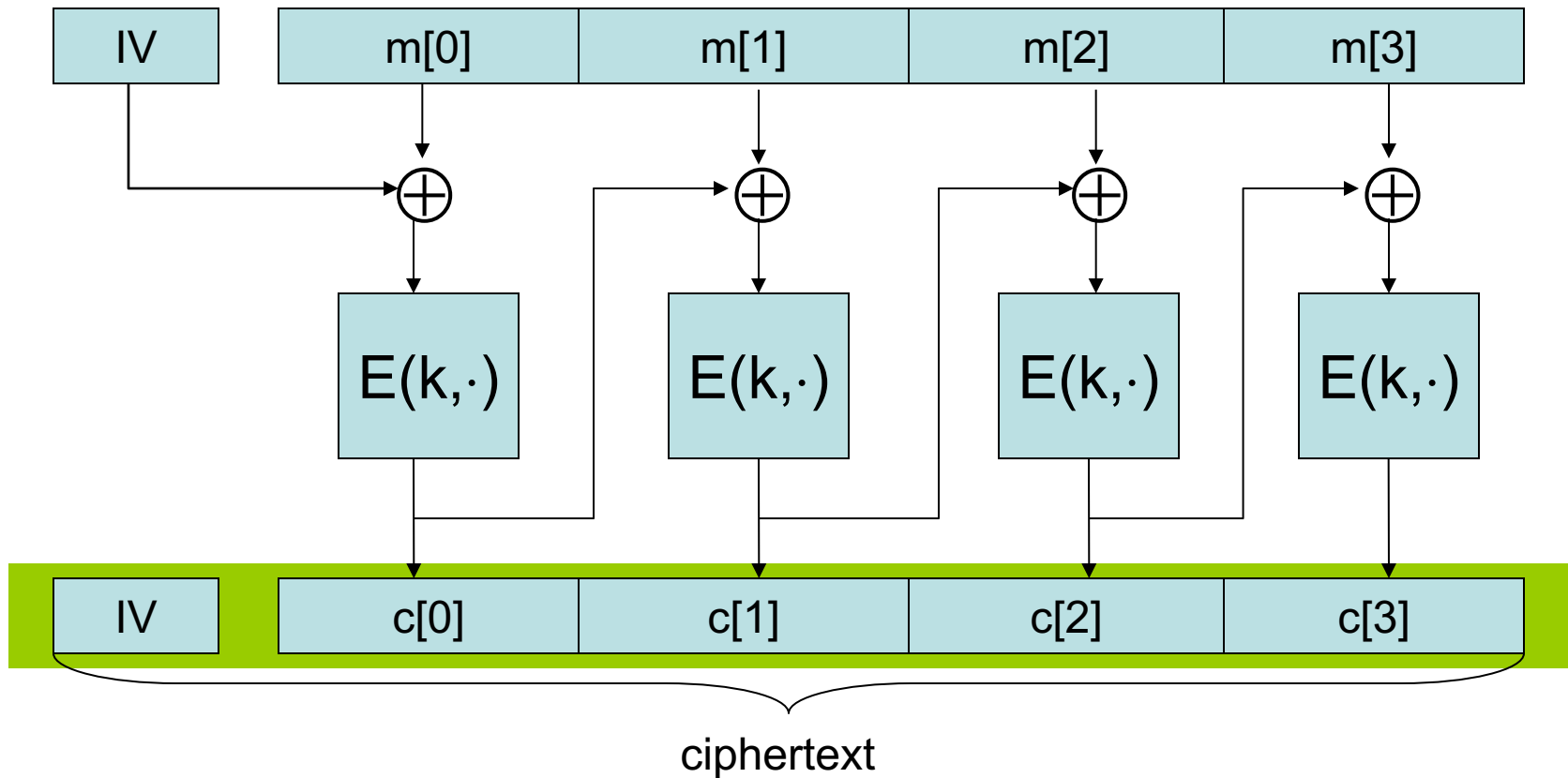


**nonce  $\mathbf{n}$ :** a value that changes from msg to msg  
( $k, \mathbf{n}$ ) pair never used more than once

- method 1: encryptor chooses a random nonce,  $n \leftarrow \mathcal{N}$
- method 2: nonce is a counter (e.g. packet counter)
  - used when encryptor keeps state from msg to msg
  - if decryptor has same state, need not send nonce with CT

# Construction 1: CBC with random nonce

Cipher block chaining with a random IV (IV = nonce)



# CBC: CPA Analysis

CBC Theorem: For any  $L > 0$ ,

If  $E$  is a secure PRP over  $(K, X)$  then

$E_{\text{CBC}}$  is a sem. sec. under CPA over  $(K, X^L, X^{L+1})$ .

In particular, for a  $q$ -query adversary  $A$  attacking  $E_{\text{CBC}}$  there exists a PRP adversary  $B$  s.t.:

$$\text{Adv}_{\text{CPA}}[A, E_{\text{CBC}}] \leq 2 \cdot \text{Adv}_{\text{PRP}}[B, E] + 2q^2 L^2 / |X|$$

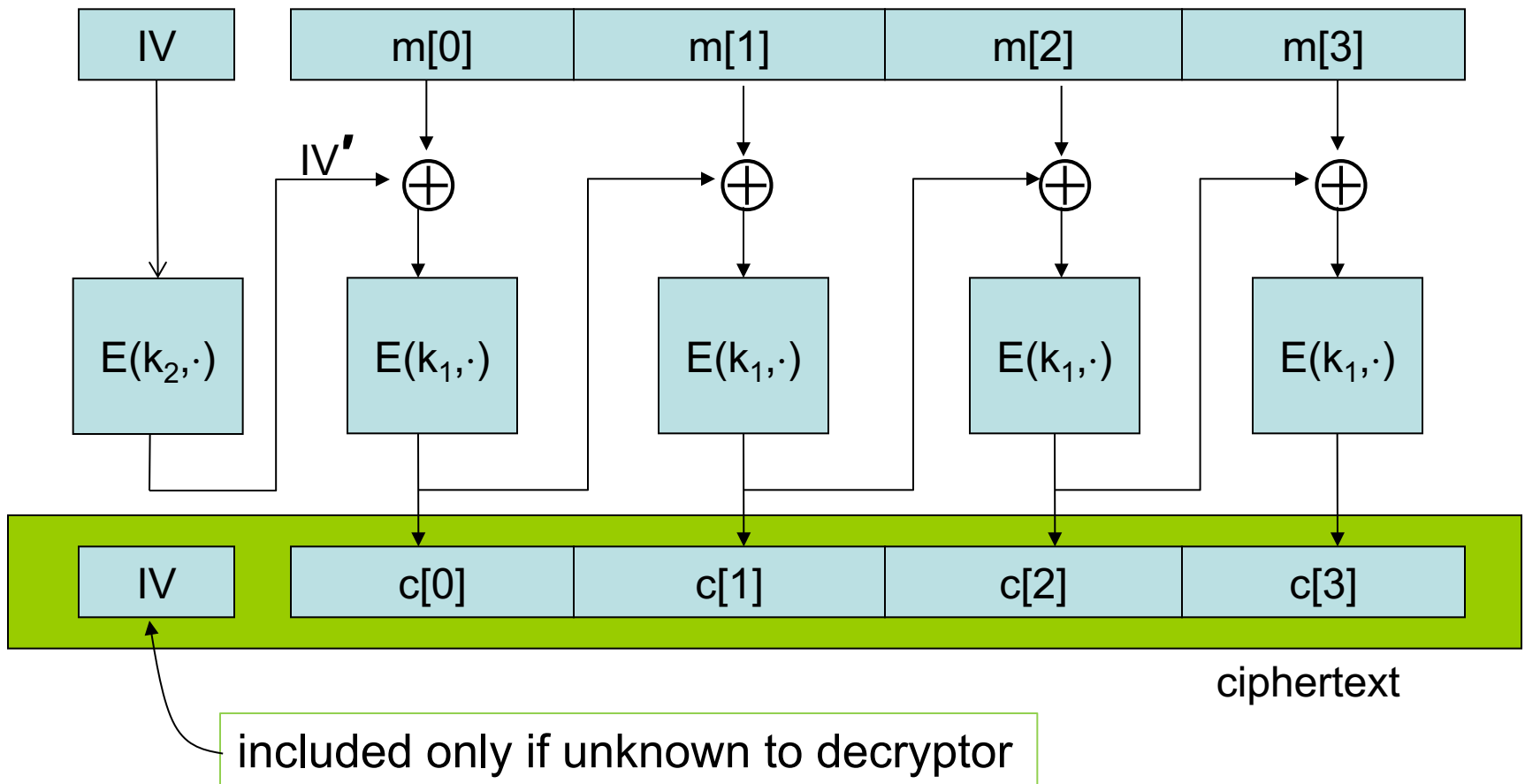
Note: CBC is only secure as long as  $q^2 L^2 \ll |X|$



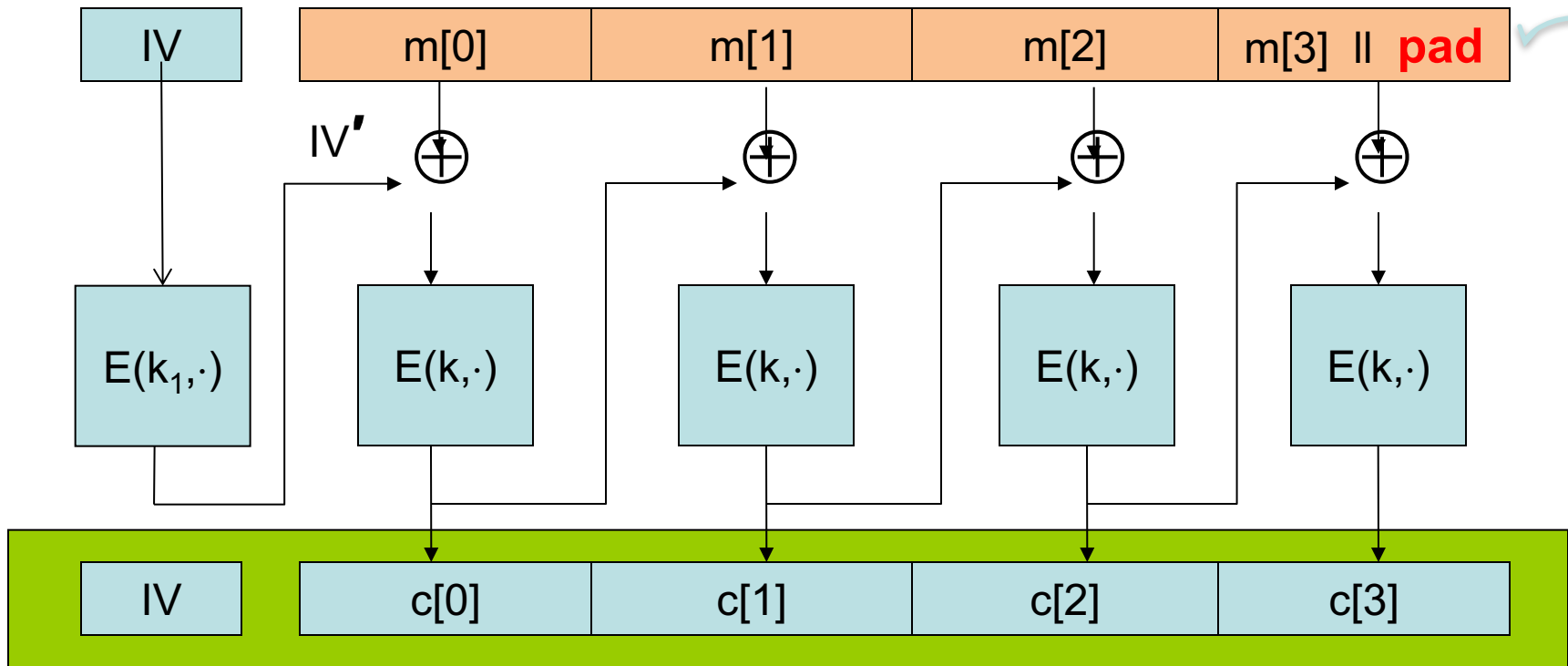
# Construction 1': CBC with **unique** nonce

Cipher block chaining with unique IV (IV = nonce)

unique IV means: (key,IV) pair is used for only one message



# A CBC technicality: padding



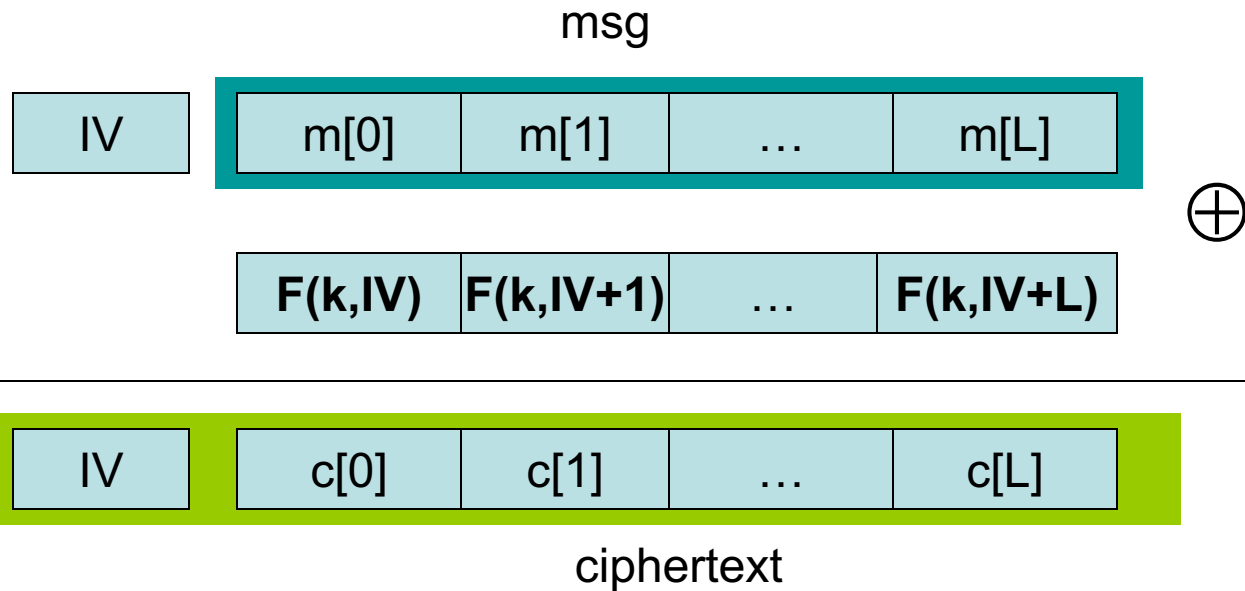
TLS: for  $n > 0$ ,  $n+1$  byte pad is 

n	n	n	...	n
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if no pad needed, add a dummy block

removed  
during  
decryption

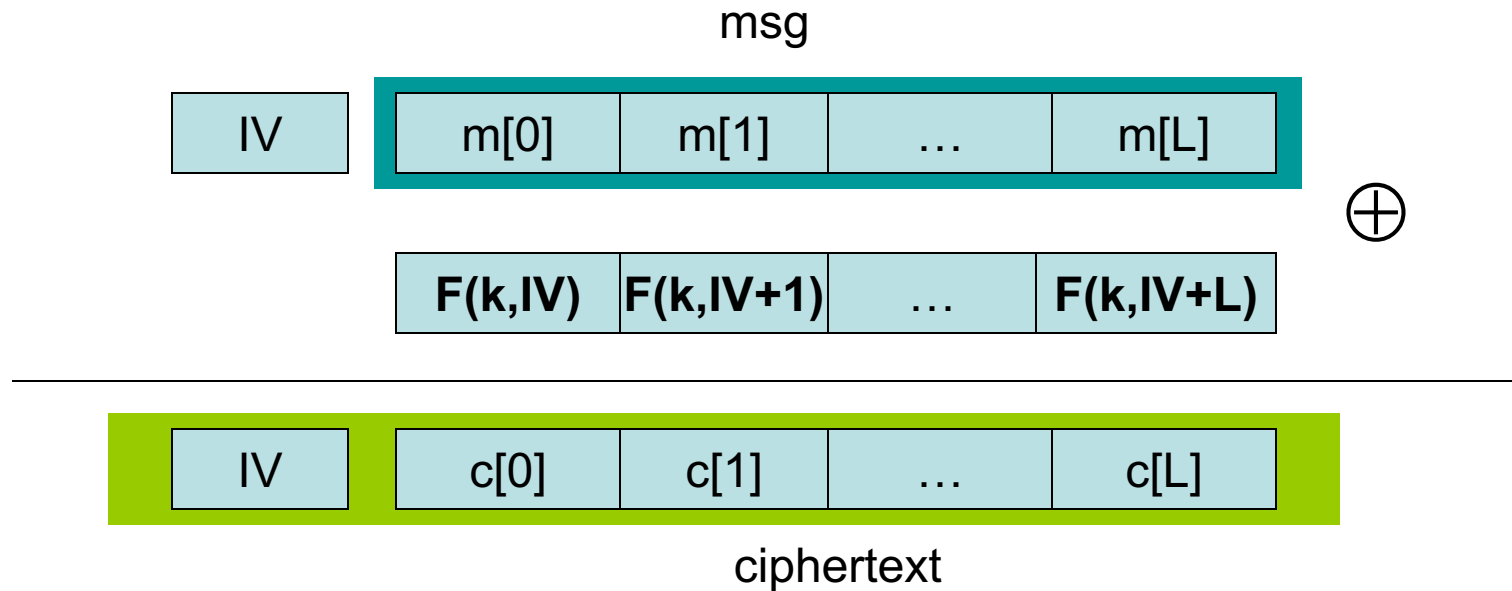
# Construction 2: rand ctr-mode



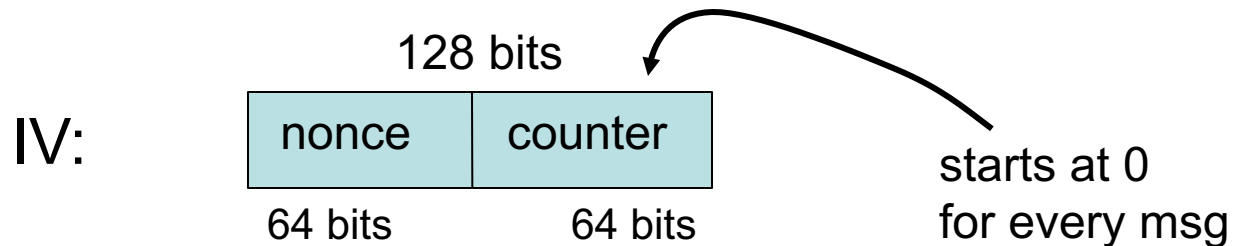
IV - chosen at random for every message

note: parallelizable (unlike CBC)

# Construction 2': nonce ctr-mode



To ensure  $F(K,x)$  is never used more than once, choose  $IV$  as:



# rand ctr-mode: CPA analysis

Randomized counter mode: random IV.

Counter-mode Theorem: For any  $L > 0$ ,

If  $F$  is a secure PRF over  $(K, X, X)$  then

$E_{\text{CTR}}$  is a sem. sec. under CPA over  $(K, X^L, X^{L+1})$ .

In particular, for a  $q$ -query adversary  $A$  attacking  $E_{\text{CTR}}$  there exists a PRF adversary  $B$  s.t.:

$$\text{Adv}_{\text{CPA}}[A, E_{\text{CTR}}] \leq 2 \cdot \text{Adv}_{\text{PRF}}[B, F] + 2q^2L / |X|$$

Note: ctr-mode only secure as long as  $q^2L \ll |X|$

Better than CBC !

# An example

$$\text{Adv}_{\text{CPA}}[A, E_{\text{CTR}}] \leq 2 \cdot \text{Adv}_{\text{PRF}}[B, E] + 2 q^2 L / |X|$$

$q = \#$  messages encrypted with  $k$  ,  $L =$  length of max msg

Suppose we want  $\text{Adv}_{\text{CPA}}[A, E_{\text{CTR}}] \leq q^2 L / |X| \leq 1 / 2^{32}$

- AES:  $|X| = 2^{128} \Rightarrow q L^{1/2} < 2^{48}$

So, after  $2^{32}$  CTs each of len  $2^{32}$  , must change key  
(total of  $2^{64}$  AES blocks)

# Comparison: ctr vs. CBC

	<b>CBC</b>	<b>ctr mode</b>
uses	PRP	PRF
parallel processing	No	Yes
Security of rand. enc.	$q^2 L^2 \ll  X $	$q^2 L \ll  X $
dummy padding block	Yes	No
1 byte msgs (nonce-based)	16x expansion	no expansion

(for CBC, dummy padding block can be avoided using ciphertext stealing)

# Summary

PRPs and PRFs: a useful abstraction of block ciphers.

We examined two security notions:

1. Semantic security against one-time CPA.
2. Semantic security against many-time CPA.

Note: neither mode ensures data integrity.

Stated security results summarized in the following table:

Power Goal	one-time key	Many-time key (CPA)	CPA and CT integrity
<b>Sem. Sec.</b>	stream-ciphers det. ctr-mode	rand CBC rand ctr-mode	later